

REV. 04/01  
For Other Than A Small Entity

19270 U.S.P.T.O.  
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Attorney Docket No. CF-086

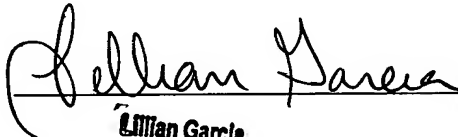
Applicants : Howard W. Lutnick et al.  
For : KEYBOARD TRADING SYSTEM

EXPRESS MAIL CERTIFICATION

"Express Mail" mailing label number EV132190136US.

Date of Deposit October 31, 2003.

I hereby certify that this transmittal letter and the other papers and fees identified in this transmittal letter as being transmitted herewith are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and are addressed to Mail Stop Patent Application, the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
Lillian Garcia

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL LETTER FOR EXECUTED  
ORIGINAL PATENT APPLICATION

Sir:

Transmitted herewith for filing are the  
[X] specification; [X] claims; [X] abstract; [X] non-  
executed declaration and power of attorney; and [X] Limited  
Recognition Under 37 CFR 10.9(b) for the above-identified  
patent application.

Also transmitted herewith are:

[X] 11 sheets of:

- [ ] Formal drawings.
- [X] Informal drawings. Formal drawings will be filed during the pendency of this application.

☐ Certified copy(ies) of application(s)

(country)	(appln. no.)	(filed)
(country)	(appln. no.)	(filed)
(country)	(appln. no.)	(filed)

from which priority is claimed.

☐ An assignment of the invention to \_\_\_\_\_

☐ A check in the amount of \$40.00 to cover the recording fee.

☐ Please charge \$40.00 to Deposit Account No. 06-1075 in payment of the recording fee. A duplicate copy of this transmittal letter is transmitted herewith.

☐ An associate power of attorney.

The filing fee has been calculated as shown below:

FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE
BASIC FEE				\$ 770.00
TOTAL CLAIMS	29	- 20 = 9	X \$18 =	\$ 162.00
INDEPENDENT CLAIMS	3	- 3 = 0	X \$86 =	\$ 0.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS			+ \$290 =	\$ 0.00
			TOTAL	<u>\$ 932.00</u>

☒ A check in the amount of \$932.00 in payment of the filing fee is transmitted herewith.

- [ ] This application is being filed unaccompanied by a filing fee. The appropriate filing fee will be paid in response to a Notice to File Missing Parts, pursuant to 37 C.F.R. § 1.53(f).
- [X] The Director is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. § 1.16, in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.
- [ ] Please charge \$\_\_\_\_\_ to Deposit Account No. 06-1075 in payment of the filing fee. A duplicate copy of this transmittal letter is transmitted herewith.



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